GridEx IV Frequently Asked Questions

**What benefit do utilities receive by participating in GridEx IV?**

NERC’s grid security exercise (GridEx) is designed for utilities (electricity and other critical sectors) to exercise their response to simulated coordinated cyber and physical security threats and incidents, strengthen utilities’ crisis communications relationships, and provide input for lessons learned. Like its predecessors, GridEx IV is a voluntary exercise that provides participants the opportunity to take part at a level that reflects their available resources and objectives, while being consistent with the NERC scenario. GridEx IV will help participants strengthen their capability to respond to and recover from severe events affecting the reliable operation of North America’s bulk electric system.

**Will compliance be reviewed during the exercise?**

Compliance is not a part of the exercise. GridEx IV provides an opportunity for utilities and other stakeholders to exercise their cyber and physical security procedures in a learning environment.

**How do utilities register for the exercise?**

The Lead Planner identified by each utility is responsible for coordinating participation. Lead Planners may register all the individuals within their utility or allow these individuals to register themselves. Lead Planners from an organization wishing to participate in GridEx IV should send their contact information to GridEx\_registration@bah.com. The Lead Planner will then be given access to the GridEx IV Planning Portal and included on future communications with relevant exercise information.

**Can anyone participate GridEx IV?**

GridEx IV participation is open only to registered utilities and others specifically invited by the utility (e.g., vendors, local law enforcement). In an effort to ensure GridEx IV is focused on security and operational response, the exercise is closed to media and the public.  A public report will be available following the exercise conclusion.

**Are there different levels of participation?**

There are two levels of participation for organizations in GridEx IV; as an active organization or as an observing organization. Active organizations participate in planning conferences, adapt scenario injects to meet their local objectives, engage in dynamic crisis response, and communicate externally to other exercise participants for information sharing and coordination. Observing organizations have access to all planning materials including the scenario injects, do not communicate externally during the exercise, and may choose to tabletop or discuss scenario events internally. Utilities have the flexibility to switch from observing to active (or vice versa) as they gain knowledge of how they might best participate and dedicate the appropriate resources. NERC will provide planning and support to encourage first-time participating utilities to participate as an active organization.

**What are other benefits to participation?**

GridEx IV will foster and enhance relationship building across the electric industry and with public sector stakeholders, allowing organizations to fully execute their crisis response plans. Lead planners will have the ability to customize scenario events to achieve their local organizational objectives (e.g., use a physical security threat to prompt power system operators to move to their back-up control center.)

NERC is planning for entity participants from active organizations to earn Continuing Education Hours. CEH hours are required by a number of certification programs and NERC is working to ensure hours are granted for participating NERC certified operators, as well as those individuals with information technology and physical security industry certifications.

For active organizations, GridEx IV will provide utilities with the opportunity to exercise their processes that support:

* EOP-004 - Event Reporting
* EOP-008 - Loss of Control Center Functionality
* CIP-008 - Incident Reporting and Response Planning
* CIP-009 - Recovery Plans for Critical Cyber Assets
* OE-417 exercise reporting
* Exercising internal and external communications and notification processes

**When is the deadline to register?**

Registration for GridEx IV closes on October 31, 2017, to help ensure that utilities have enough time to participate effectively. Lead planners should register early to ensure they have enough time to participate in the planning and preparation process. Planning materials are available to give lead planners everything they might need to support their role in leading their organization and participants through the exercise.

**Will NERC prepare a public report after GridEx IV?**

Yes. The report includes key observations and recommendations for improving the exercise.

**How should GridEx IV participants respond to media inquiries regarding the exercise?**

* GridEx IV participants may respond to media queries as they would respond to any media query. Participants may also direct any media inquiries to NERC communications staff – [Kimberly Mielcarek](mailto:kimberly.mielcarek@nerc.net) and [Marty Coyne](mailto:martin.coyne@nerc.net).
* NERC does not disclose the scenario premise or names of participating organizations, individuals. It is up to participating organization whether to let media know about the participation of the organization or its employees in GridEx IV.
* NERC will not respond to media queries about a specific entity, but will speak about the bulk electric system as a whole.
* Individuals and organizations participating in or observing GridEx IV should not disclose details of the exercise and instead refer all media inquiries about the details of the exercise to NERC communications staff – [Kimberly Mielcarek](mailto:kimberly.mielcarek@nerc.net) and [Marty Coyne](mailto:martin.coyne@nerc.net).

For more information on GridEx IV, contact [Jake Schmitter](mailto:jacob.schmitter@eisac.com?subject=GridEx%20IV), Senior Manager of Training and Exercises, Electricity Information Sharing and Analysis Center.